

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Engler, et al.  
Serial No.: 09/995,804  
Filed November 29, 2001  
For: RECEPTOR-MEDIATED UPTAKE OF PEPTIDES THAT BIND THE HUMAN  
TRANSFERRIN RECEPTOR



THIS IS NOT A NEW PATENT APPLICATION

LETTER SUBMITTING DOCUMENTS FOR COMPLETION OF AN APPLICATION  
PURSUANT TO 37 C.F.R. 1.53 (d)

Honorable Commissioner of Patents March 24, 2002  
and Trademarks  
Washington, D.C. 20231

Sir:

The application papers for the above-identified application were originally filed on November 29, 2001 and assigned Serial No. 09/995,804.

Under the provisions of 37 CFR 1.41(c) and 1.53(d), attached hereto are the following documents:

Signed Declaration and Power of Attorney  
The Notification to File Missing Parts  
A sequence listing (paper and diskette)  
Declaration accompanying sequence listing  
A check as indicated below.

Applicant hereby claims status as a small entity under 37 CFR 1.27 (small business)

The Government Filing Surcharge in accordance with 37 CFR 1.16(e) is calculated as \$65.00.

The application as filed contains the following claims:  
7 total claims. Fee: \$370.00.

There are no excess independent claims  
Total fees: \$370.00

Please continue to address all mail to:  
Glenna Hendricks  
P.O. Box 2509  
Fairfax, VA 22031

Attached is a check for the amount of \$435.00. If further fees are payable, the commissioner is authorized to debit Deposit Account 08-1652 in the amount required to fully pay all fees.

Respectfully Submitted;

Glenna Hendricks, Reg. No. 32535



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,804	11/29/2001	Jeffrey A. Engler	

Glenna Hendricks, Esq.  
P.O. Box 2509  
Fairfax, VA 22031-2509



CONFIRMATION NO. 9184

## FORMALITIES LETTER



\*OC000000007357367\*

Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).


For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 306-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

03/27/2002 NR0041 00000090 09995804 370.00 OP 65.00 OP  
01 FC:201  
02 FC:205

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*A copy of this notice MUST be returned with the reply.*

  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE